



PATENT  
Docket No. Q041

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant: Hirschberg et al.

Serial No: 09/750,832

Filed: December 28, 2000

Title: AN IMPLANTABLE INTRACRANIAL  
PHOTO APPLICATOR FOR LONG  
TERM FRACTIONATED  
PHOTODYNAMIC AND RADIATION  
THERAPY IN THE BRAIN AND  
METHOD OF USING THE SAME

Art Unit: 3736

Date: June 25, 2001

**CERTIFICATE OF MAILING VIA FIRST CLASS MAIL**

Hon. Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Date of Deposit: June 25, 2001

I hereby certify that the following documents as identified below are being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231:

1. Response to File Missing Parts
2. Substitute Drawings

The above-identified documents are enclosed herewith.

Respectfully submitted,

Date: June 25, 2001

Cortney Hollands  
Cortney Gollands  
MYERS, DAWES & ANDRAS LLP  
19900 Mac Arthur Blvd., Suite 1150  
Irvine, California 92612

TECHNOLOGY CENTER R3700

AUG 10 2001

RECEIVED

PMG300C Application Processing Hist		09750832		
File Edit Application Processing Window Help				
Previous Next				
<div>09750832<ul style="list-style-type: none"><li>07/27/2001 - Incoming Correspondence<ul style="list-style-type: none"><li>Outgoing Correspondence</li><li>Worker Task<ul style="list-style-type: none"><li>PICS Scanning Operation, SAMUEL ARAYA, 07/27/2001</li></ul></li><li>Incoming Items</li></ul></li><li>07/23/2001 - 07/26/2001, Incoming Correspondence, Perfected<ul style="list-style-type: none"><li>Outgoing Correspondence<ul style="list-style-type: none"><li>Informality Notice, 07/23/2001</li><li>Filing Receipt, 07/23/2001</li></ul></li><li>Worker Task<ul style="list-style-type: none"><li>Pre-exam Filing Receipt Correction, SEAN HILL, 07/26/2001 - 07/26/2001</li><li>Pre-exam Formalities Review, LORNA TABA, 07/23/2001 - 07/26/2001</li></ul></li><li>Incoming Items<ul style="list-style-type: none"><li>Corrected Drawings (No change to Filing Date), 06/28/2001</li><li>Additional Application Filing Fees, 06/28/2001</li></ul></li></ul></li><li>07/17/2001 - 07/18/2001, Incoming Correspondence, Corrected Papers<ul style="list-style-type: none"><li>Outgoing Correspondence<ul style="list-style-type: none"><li>Formalities Letter, 07/18/2001</li></ul></li><li>Worker Task<ul style="list-style-type: none"><li>Pre-exam Formalities Review, ABDULBASIT MOHAMMED, 07/17/2001 - 07/18/2001</li></ul></li><li>Incoming Items<ul style="list-style-type: none"><li>Corrected Drawings (No change to Filing Date), 05/21/2001</li><li>Oath or Declaration, 05/21/2001</li><li>Additional Application Filing Fees, 05/21/2001</li></ul></li></ul></li></ul></div>				
			ermando	08/01/2001



PATENT  
Attorney Docket: Q041

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Hirschberg et al.

Serial No: 09/750,832

Art Unit: 3736

Filed: December 28, 2000

Title: AN IMPLANTABLE INTRACRANIAL  
PHOTO APPLICATOR FOR LONG  
TERM FRACTIONATED  
PHOTODYNAMIC AND RADIATION  
THERAPY IN THE BRAIN AND  
METHOD OF USING THE SAME

Date: June 25, 2001

**RESPONSE TO FILE MISSING PARTS  
(Small Entity)**

Attn: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

In response to the Notice to File Missing Parts dated February 16, 2001, enclosed are substitute drawings in compliance with 37 CFR 1.84.

Respectfully submitted,

Date: June 25, 2001

Richard L. Myers, Reg. No. 26,490  
MYERS, DAWES & ANDRAS LLP  
19900 MacArthur Blvd., Suite 1150  
Irvine, California 92612  
Tel: (949) 223-9600

TECHNOLOGY CENTER R3700

AUG 10 2001

RECEIVED

08-02-01 3700 SECTOR

MAIL DELIVERED  
JUL 2 2001  
PACIFIC TRADEMARK OFFICE 4634

PATENT & TRADEMARK OFFICE  
AUG 0 1 2001

<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b>		Docket No.
Applicant(s): The Regents of the University of California		Q041

Serial No. 09740832	Filing Date 12/28/00	Examiner	Group Art Unit 3736
------------------------	-------------------------	----------	------------------------

Invention: AN IMPLANTABLE INTRACRANIAL PHOTO APPLICATOR FOR LONG TERM FRACTIONATED PHOTODYNAMIC AND RADIATION THERAPY IN THE BRAIN AND METHOD OF USING THE SAME

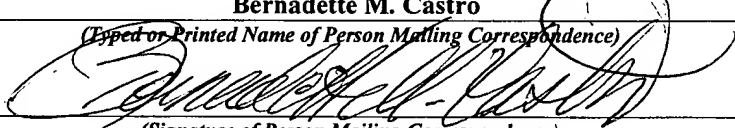
I hereby certify that the following correspondence:

Request for Extension of Time to Respond to Notice of Missing Parts

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

8/1/01  
(Date)

Bernadette M. Castro  
(Typed or Printed Name of Person Mailing Correspondence)  
  
(Signature of Person Mailing Correspondence)

EL731158095US  
( "Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.

RECEIVED  
AUG 1 0 2001  
TECHNOLOGY CENTER R3700



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/750,832	12/28/2000	Henry Hirschberg	Q041

CONFIRMATION NO. 8673

## FORMALITIES LETTER



\*OC00000006309086\*

Daniel L. Dawes  
MYERS, DAWES & ANDRAS LLP  
5252 Kenilworth Dr.  
Huntington Beach, CA 92649

Date Mailed: 07/18/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

RECEIVED  
AUG 1 0 2001  
TECHNOLOGY CENTER R3700

00750832-1000404

Patent  
Q041

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:  
HIRSCHBERG

SERIAL NO. : 09/750,832

FILED: DEC. 28, 2000

FOR: AN IMPLANTABLE  
INTRACRANIAL PHOTO  
APPLICATOR FOR LONG TERM  
FRACTIONATED PHOTODYNAMIC  
AND RADIATION THERAPY IN THE  
BRAIN AND METHOD OF USING  
THE SAME

Examiner: unassigned

Group Art Unit: unassigned

REQUEST FOR REFUND  
37 CFR 1.26  
(small entity)

Hon. Commissioner of Patents and Trademarks  
Washington DC 20231

Sir:

In reply to the Notice of Missing Parts mailed Feb. 16, 2001, applicant submitted a partially complete response to the Notice with an one month extension request and fee (\$55.00) on May 16, 2001. A true and correct copy of which Notice and partial completion are attached as Exhibit 1. Payment for a one month extension was made by mistake, since the complete response, i.e. submission of the substitute drawings, was not submitted until a later time, with a subsequent request for a longer extension and payment of an overlapping and

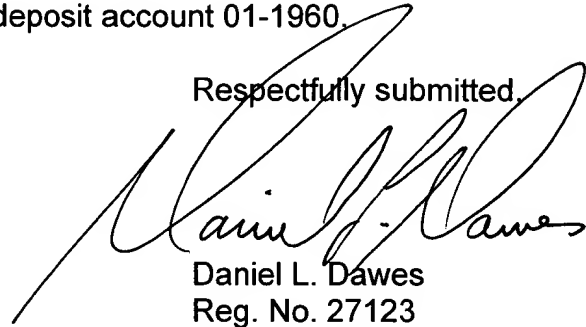
TECHNOLOGY CENTER R3700

AUG 1 0 2001

RECEIVED

larger extension fee. Hence, the one month payment of \$55.00 was by mistake and should be refunded by credit to our deposit account 01-1960.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Daniel L. Dawes', is written over the typed name and contact information.

Daniel L. Dawes  
Reg. No. 27123  
949 223 9600  
fax 949 223 9610

Mailing Address:  
Daniel L. Dawes  
5252 Kenilworth Dr.  
Huntington Beach, California 92649

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): The Regents of the University of California

Docket No.

Q041

Serial No.

Filing Date

Examiner

Group Art Unit

2007/50832

12/28/00

MAIL DATE CANCELLED

Invention:

AN IMPLANTABLE INTRACRANIAL PHOTO APPLICATOR FOR LONG TERM FRACTIONATED  
PHOTODYNAMIC AND RADIATION THERAPY IN THE BRAIN AND METHOD OF USING THE SAME

I hereby certify that the following correspondence:

Request for Refund for Small entity

*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

8/1/01

*(Date)*

Bernadette M. Castro

*(Typed or Printed Name of Person Mailing Correspondence)*

*(Signature of Person Mailing Correspondence)*

EL731158095US

*("Express Mail" Mailing Label Number)*

Note: Each paper must have its own certificate of mailing.

RECEIVED  
AUG 10 2001  
TECHNOLOGY CENTER R3700